

Applicant or Patentee: Cambridge Sensors Limited

Serial or Patent No.: 0 / _____ (based on PCT/GB98/01624 filed June 3, 1998)

Filed or issued: _____

For: ELECTRODES FOR THE MEASUREMENT OF ANALYTES IN SMALL SAMPLE VOLUMES

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c))—SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern
identified below:

NAME OF CONCERN Cambridge Sensors Limited

ADDRESS OF CONCERN Downhams House, Downhams Lane

Cambridge CB4 1XT, United Kingdom

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to a remain with the small business concern identified above with regard to the invention, entitled:

ELECTRODES FOR THE MEASUREMENT OF ANALYTES IN SMALL SAMPLE VOLUMES

by inventor(s) Stephen Charles Williams, Bernadette Yon-Hin and Neil Blair

described in

- ☐ the specification filed herewith.
☐ application serial no. 0 / _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING J. McCann

TITLE OF PERSON OTHER THAN OWNER Managing Director

ADDRESS OF PERSON SIGNING 1 Church Street, Fen Ditton

Cambridge, UK

SIGNATURE _____

Date _____

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for a patent is sought on the invention entitled: ELECTRODES FOR THE MEASUREMENT OF ANALYTES IN SMALL SAMPLE VOLUMES

the specification of which

☐ is attached hereto.

☐ was filed on _____ (based on PCT/GB98/01624 filed June 3, 1998) as

Application Serial No. _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventors' certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | | | Priority Claimed | |
|------------------------------|----------------------|------------------------|---|-----------------------------|
| <u>9711395.5</u> | <u>Great Britain</u> | <u>4/6/97</u> | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | | |
| _____ | _____ | _____ | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | | |
| _____ | _____ | _____ | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

| | | |
|--------------------------|---------------|---|
| _____ | _____ | _____ |
| (Application Serial No.) | (Filing Date) | (Status – patented, pending, abandoned) |
| _____ | _____ | _____ |
| (Application Serial No.) | (Filing Date) | (Status – patented, pending, abandoned) |

POWER OF ATTORNEY: As a named inventor, I hereby appoint Paul D. Greeley, Reg. No. 31,019; Charles N.J. Ruggiero, Reg. No. 28,468; David N. Koffsky, Reg. No. 19,905; Harry F. Smith, Reg. No. 32,493 and George W. Rauchfuss, Jr., Reg. No. 24,459; and each of them, my Attorneys, to prosecute this Application, and to transact all business in the U.S. Patent and Trademark Office connected therewith. I hereby authorize these U.S. Attorneys to accept and follow instructions from Gill Jennings & Every as to any action to be taken in the USPTO regarding this Application without direct communication between the U.S. Attorneys and the undersigned. In the event of any change in the authorization, I will notify the U.S. Attorneys in writing.

SEND CORRESPONDENCE TO:

George W. Rauchfuss, Jr.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, Ninth Floor
Stamford, CT 06901-2682

DIRECT TELEPHONE CALLS TO:

George W. Rauchfuss, Jr.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Tel: (203) 327-4500 FAX: (203) 327-6401

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Stephen Charles Williams

Inventor's signature _____

Date: _____

Residence Half Moon Bay, CA, USA

Citizenship Great Britain

Post Office Address P.O. Box 3559, 500 Stone Pine Road, Half Moon Bay, CA 94019, USA

Full name of second joint inventor, if any Bernadette Yon-Hin

Inventor's signature _____

Date: _____

Residence Cambridge, Great Britain

Citizenship Great Britain

Post Office Address c/o Cambridge Sensors Limited, Downhams House, Downhams Lane, Cambridge CB4 1XT, Great Britain

Full name of third joint inventor, if any Neil Blair

Inventor's signature _____

Date: _____

Residence Hardwick, Cambridge, Great Britain

Citizenship Great Britain

Post Office Address 122 Limes Road, Hardwick, Cambridge CB3 7XU, Great Britain